

Warwickshire Joint Audit and Standards Committee Meeting Agenda

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| Date: | Tuesday 6 th July 2021 |
| Time: | 14:00 |
| Location: | Conference Room at Leek Wootton (controlled numbers) |
| Chair: | John Anderson |
| Vice Chair: | Gavin McArthur |
| Minute Taker: | Nargis Begum |

This meeting is in line with COVID19 regulations and numbers will be controlled – dial in details will be circulated for those unable to attend in person

Items for Discussion

| No | Item | Lead |
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| 01/06/07/2021 | Welcome and Apologies | Chair |
| | Committee Members' Declaration of Personal Prejudicial Interests. <i>Members are reminded that they should declare the existence and nature of their personal interests at the commencement of the relevant item (or as soon as the interest becomes apparent). If that interest is a prejudicial interest the member must withdraw from the room unless one of the exceptions applies.</i> | Chair |
| | The meeting will go into closed session to receive any confidential reports | |
| 02/06/07/2021 | Briefing to the committee from the PCC on current issues | Philip Seccombe |
| 03/06/07/2021 | Minutes of the closed meeting of March 2021 | Chair |
| 04/06/07/2021 | Transition Report (Verbal) <i>The committee will be in private session to discuss the progress on the transition of services covered under the S22 agreements with West Mercia</i> | D Tedds / D Gardner |
| 05/06/07/2021 | Warwickshire Police Risk Register (paper) <i>To consider and comment on the Warwickshire police risk register. The committee will be in private session to discuss these papers</i> | A Shipman |
| 06/06/07/2021 | OPCC Strategic Risk Register (paper) | S Ansell |

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| | <i>To consider the draft revised Strategic Risk Register for the PCC. The committee will be in private session to discuss these papers.</i> | |
| | The meeting will now move into the open session | |
| 07/06/07/2021 | Minutes of the open meeting of March 2021 | Chair |
| 08/06/07/2021 | Standards Report <i>To consider the presented report</i> | D Mullis |
| 09/06/07/2021 | 2020/21 Internal Audit Annual Report (paper) <i>To consider the presented Internal Audit Annual Report for 2020/21</i> | P Clarke |
| 10/06/07/2021 | The 2020-21 Outturn report for Warwickshire Police and OPCC (Paper) <i>To consider the 2020-21 outturn and reserve transfers approved by the PCC</i> | J Carruthers/S Ansell |
| 11/06/07/2021 | To receive an update on progress made in achieving compliance with CIPFA's Financial Management Code during 2020-21 <i>To consider the independent report by CIPFA which reviewed Warwickshire financial management capability, and be provided with an update on the actions now being implemented.</i> | J Carruthers |
| 12/06/07/2021 | Draft Joint Annual Governance Statement 2020-21 <i>To review the draft joint Annual Governance Statement for 2020-21 which will be included as part of the Statement of Accounts for the PCC and CC.</i> | J Carruthers/S Ansell |
| 13/06/07/2021 | External Audit progress report and sector update (Paper) <i>To consider the external audit progress report and Sector Update from Grant Thornton</i> | J Murray/A Reid |
| 14/06/07/2021 | External Audit plan update (paper) <i>To consider the external audit plan for the year ending 31st March 2021</i> | J Murray/A Reid |
| 15/06/07/2021 | Internal Audit Progress report update (Paper) <i>To receive an update on the progress and work of Internal Audit since the last meeting, including updates on the Audit plan.</i> | P Clarke |
| 16/06/07/2021 | Review of the OPCC Risk Management Strategy 2021/22 | S Ansell |

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| | <i>To consider and comment on the draft OPCC risk management strategy as updated for 2021/22</i> | |
| 17/06/07/2021 | ASI Assurance and Action Plan – Progress Report (paper) <i>To consider the presented report. Assurance Board</i> | A.Shipman |
| 18/06/07/2021 | HMICFRS Action Plan Update Report <i>To consider the presented report</i> | A.Shipman |
| 19/06/07/2021 | Joint Audit and Standards Committee workplan (paper) <i>To consider the presented report and make any recommendations</i> | S.Ansell |
| 20/06/07/2021 | Members Points <i>An opportunity for members to raise queries on subjects or issues which are not necessarily agenda items.</i> | |
| 20/06/07/2021 | AOB Meeting dates for 2021/22: Tuesday 28 th September 2021 – 2pm – 4pm Wednesday 19 th January 2021 – 2pm – 4pm Police and Crime Panel meeting dates: 23 rd September 2021– 10:30 | All Chair |
| Next Meeting : Wednesday 28th September 2021 at 2pm at Leek Wootton or virtual meeting (tbc – dependent on current restrictions) | | |

Attendees

Audit Committee Members

| | Name: | Capacity: |
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| Expected Attendance: | John Anderson | Chair |
| | David Carter | Member |
| | Helen Knee | Member |
| | Gavin McArthur | Vice Chair |

Offices of the Police and Crime Commissioner

| | Name: | Capacity: |
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| Expected Attendance: | Philip Seccombe (PS) | Police & Crime Commissioner |
| | Polly Reed (PR) | Chief Executive, OPCC |

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| | Debbie Mullis (DM) | Development Lead for Standards & Integrity, OPCC |
| | Sara Ansell (SA) | Treasurer, OPCC |

Force

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| | Name: | Capacity: |
| Expected Attendance: | Debbie Tedds (DT) | Chief Constable |
| | Alex Franklin-Smith (AFS) | T/Deputy Chief Constable |
| | Wendy Knox (WK) | Hd of Accounting & Financial Control |
| | Jeff Carruthers (JC) | Director of Finance |
| | Andrew Shipman (AS) | AS & I |

Internal Audit

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| | Name: | Capacity: |
| Expected Attendance: | Paul Clarke (PC) | Head of Internal Audit for Warwickshire CC |

External Audit

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| | Name: | Capacity: |
| Expected Attendance: | Jackson Murray | Grant Thornton |
| | Andy Reid | Grant Thornton |

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| | Name: | Capacity: |
| Apologies: | Alistair Murdie | Member |
| | Steve Russell | H of AS & I |