

## Warwickshire Joint Audit and Standards Committee Meeting Agenda

Date:	Wednesday 17 <sup>th</sup> March 2021
Time:	14:00
Location:	Virtual Meeting via Teams/Dial in
Chair:	John Anderson
Vice Chair:	Gavin McArthur
Minute Taker:	Katie Baker

**Invitation and Instructions to join TEAMS Meeting to be sent via email**

### Items for Discussion

No	Item	Lead
01/17/03/2021	Welcome and Apologies	Chair
	<p><b>Committee Members' Declaration of Personal Prejudicial Interests.</b></p> <p><i>Members are reminded that they should declare the existence and nature of their personal interests at the commencement of the relevant item (or as soon as the interest becomes apparent). If that interest is a prejudicial interest the member must withdraw from the room unless one of the exceptions applies.</i></p>	Chair
	<b>The meeting will go into closed session to receive any confidential reports</b>	
02/17/03/2021	<b>Briefing to the committee from the PCC on current issues</b>	Philip Seccombe
03/17/03/2021	<b>Minutes of the closed meeting of January 2021</b>	Chair
04/17/03/2021	<p><b>Transition Report (Verbal)</b></p> <p><i>The committee will be in private session to discuss the progress on the transition of services covered under the S22 agreements with West Mercia</i></p>	D Tedds / D Gardner
05/17/03/2021	<p><b>Internal Audit Progress Report Update (paper)</b></p> <p><i>To consider the progress against the Internal Audit Workplan for 2020/21</i></p>	P Clarke
06/17/03/2021	<p><b>Warwickshire Police Risk Register (paper)</b></p> <p><i>To consider and comment on the Warwickshire</i></p>	S Russell

	<i>police risk register. The committee will be in private session to discuss these papers</i>	
07/17/03/2021	<b>OPCC Strategic Risk Register</b> (paper) <i>To consider the draft revised Strategic Risk Register for the PCC. The committee will be in private session to discuss these papers.</i>	S Ansell
	<b>The meeting will now move into the open session</b>	
08/17/03/2021	<b>Minutes of the open meeting of January 2021</b>	Chair
09/17/03/2021	<b>Ethics Report</b> <i>To consider the presented report</i>	D Mullis
10/17/03/2021	<b>External Audit progress report and sector update</b> (Paper)  <i>To consider the external audit progress report and Sector Update from Grant Thornton</i>	J Murray/A Reid
11/17/03/2021	<b>Internal Audit Workplan for 2021-22 (Paper)</b>  <i>To consider the draft Internal Audit Workplan for 2021-22</i>	P Clarke
12/17/03/2021	<b>JASC Terms of Reference review</b>  <i>To review the terms of reference for the Joint Audit and Standards Committee as part of the annual review and recommend any changes.</i>	S Ansell/J Carruthers
13/17/03/2021	<b>Reserves Strategy (Paper)</b>  <i>To consider the draft 2021/22 Reserves Strategy</i>	S Ansell/J Carruthers
14/17/03/2021	<b>Review of the Capital and Treasury Management Strategies 2021/22</b>  <i>To consider and comment on the draft capital and treasury management strategies as updated for 2021/22</i>	W Knox / S Ansell / J Carruthers
15/17/03/2021	<b>Health and safety report update</b>  <i>To consider the report on health and safety compliance and monitoring.</i>	T/DCC Debbie Tedds
16/17/03/2021	<b>Review of the Corporate Governance Framework and financial regulations –</b>  <i>To consider the amendment to the framework and regulations and provide comment prior to formal approval for 2021/22</i>	S Ansell/J Carruthers
17/17/03/2021	<b>ASI Assurance and Action Plan – Progress Report</b> (paper) <i>To consider the presented report. Assurance</i>	S.Russell

	<i>Board</i>	
18/17/03/2021	<b>HMICFRS Action Plan Update Report</b> <i>To consider the presented report</i>	S.Russell
19/17/03/2021	<b>Joint Audit and Standards Committee workplan (paper)</b> <i>To consider the presented report and make any recommendations</i>	S.Ansell
20/17/03/2021	<b>Members Points</b> <i>An opportunity for members to raise queries on subjects or issues which are not necessarily agenda items.</i>	
21/17/03/2021	<b>AOB</b> <b>Meeting dates for 2021/22:</b>  Wednesday 28 <sup>th</sup> July 2021 – 2pm – 4pm Wednesday 20 <sup>th</sup> October 2021 – 2pm – 4pm  <b>Police and Crime Panel meeting dates:</b>  18 <sup>th</sup> March 2021 – 10:30	All  Chair
<b>Next Meeting : Wednesday 28<sup>th</sup> July 2021 at 2pm at Leek Wootton or virtual meeting (tbc – dependent on current restrictions)</b>		

### Attendees

#### Audit Committee Members

	<b>Name:</b>	<b>Capacity:</b>
<b>Expected Attendance:</b>	John Anderson	Chair
	David Carter	Member
	Helen Knee	Member
	Alistair Murdie	Member
	Gavin McArthur	Vice Chair

#### Offices of the Police and Crime Commissioner

	<b>Name:</b>	<b>Capacity:</b>
<b>Expected Attendance:</b>	Philip Seccombe (PS)	Police & Crime Commissioner
	Polly Reed (PR)	Chief Executive, OPCC
	Debbie Mullis (DM)	Development Lead for Standards & Integrity, OPCC
	Sara Ansell (SA)	Treasurer, OPCC

### Force

	<b>Name:</b>	<b>Capacity:</b>
<b>Expected Attendance:</b>	Debbie Tedds (DT)	T/Deputy Chief Constable
	David Gardner (DG)	T/Assistant Chief Constable
	Wendy Knox (WK)	Hd of Accounting & Financial Control
	Jeff Carruthers (JC)	Director of Finance
	Steve Russell (SR)	Hd of AS & I

### **Internal Audit**

	<b>Name:</b>	<b>Capacity:</b>
<b>Expected Attendance:</b>	Paul Clarke (PC)	Internal Auditor, Warwickshire

### **External Audit**

	<b>Name:</b>	<b>Capacity:</b>
<b>Expected Attendance:</b>	Jackson Murray	Grant Thornton
	Andy Reid	Grant Thornton

	<b>Name:</b>	<b>Capacity:</b>
<b>Apologies:</b>	Martin Jelley (MJ)	CC Warwickshire Police
	Richard Moore (RM)	CC Warwickshire Police